# Challenges and Opportunities in Pavement Preservation

**Public Works Officers Insitute** 

Monterey, CA

March 18, 2020

Presentation canceled by Covid closure









#### www.ucprc.ucdavis.edu/ccpic

- Funded through SB1 by:
  - Institute of Transportation Studies at UC Davis and UC Berkeley
  - Mineta Transportation Institute, San Jose State University
- Sponsored by:
  - League of California Cities
  - County Engineers of California
  - California State Association of Counties

## **CCPIC** Mission and Vision

- Mission
  - CCPIC works with local governments to increase pavement technical capability through timely, relevant, and practical support, training, outreach and research
- Vision
  - Making local government-managed pavement last longer, cost less, and be more sustainable
- CCPIC training:
  - <a>www.techtransfer.berkeley.edu/training/pavement-courses</a>
  - Or go through CCPIC website

## Today's Presentations

- Moderator:
  - Shadi Saadeh, CSU Long Beach
- Use of life cycle cost analysis to select and program appropriate preservation treatments
  - Sampat Kedarisetty, UC Pavement Research Center, UC Davis
- How to get maximum performance out of preservation treatments through specification and quality assurance
  - DingXin Cheng, California Pavement Preservation Center, CSU Chico
- Approaches for delivering more sustainable and multi-functional pavement
  - John Harvey, UC Pavement Research Center, UC Davis
- Questions and answers

# PWOI 2020 Life Cycle Cost Analysis in Pavement Engineering

Presented by Sampat Kedarisetty, PhD Candidate, UC Davis

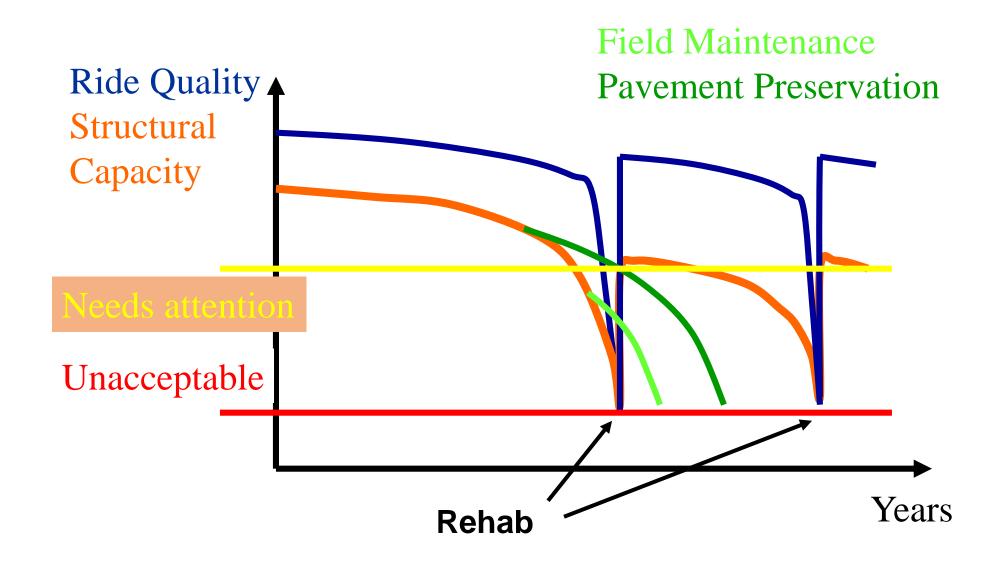
### Introduction – Life Cycle Cost Analysis

- Shahin described LCCA as an economic tool that can be used to analyze investments or projects that have long lives and require large amounts of capital.
- Enables comparison of long term strategies using Net Present Value (NPV) and Equivalent Uniform Annual Cost (EUAC)

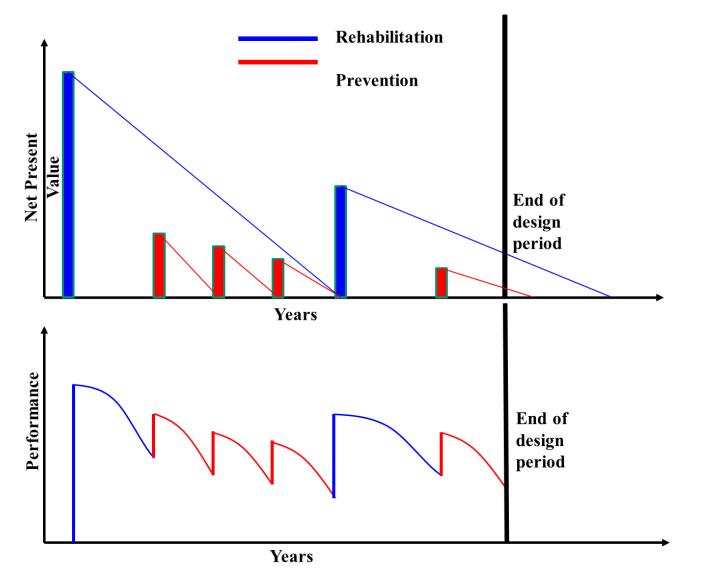
$$NPV = Initial \ cost + \sum_{k=1}^{N} Rehab \ Cost_k \left[ \frac{1}{(1+i)^n} \right] - SV$$

where *i* is the Discount rate (~4%) and *n* is the year of work for Rehab *k* and *SV* is the Salvage value of any investment left at the end of the analysis period

#### Life Cycle Cost Analysis (LCCA) Basics



#### Life Cycle Cost Analysis (LCCA) Basics



Converting performance information to treatment/cost sequence

### Life Cycle Cost Analysis (LCCA) Basics

• Net present value =

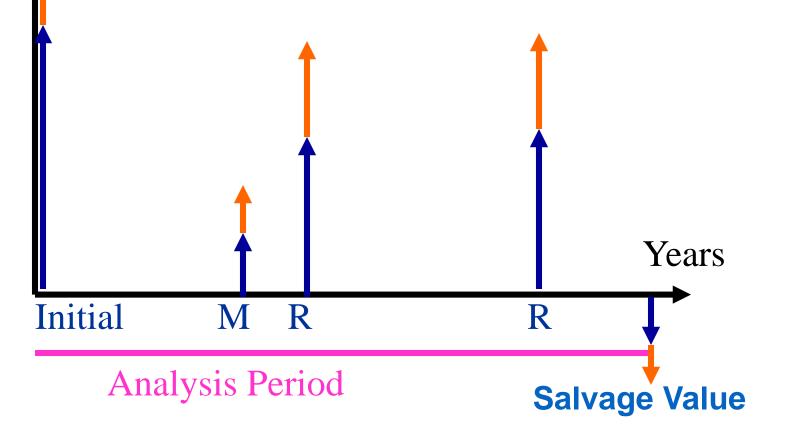
\$ (Agency

Costs)

\$ (User

Costs)

- add up the costs over the analysis period, including discount rate
- Equivalent Uniform Annual Cost, spread NPV over time, with discount



### Life Cycle Cost Analysis (LCCA) Tool

CCPIC LCCA Excel tool Download at: <u>http://www.ucprc.ucdavis.edu/ccpic/</u> or Google "CCPIC UCPRC"

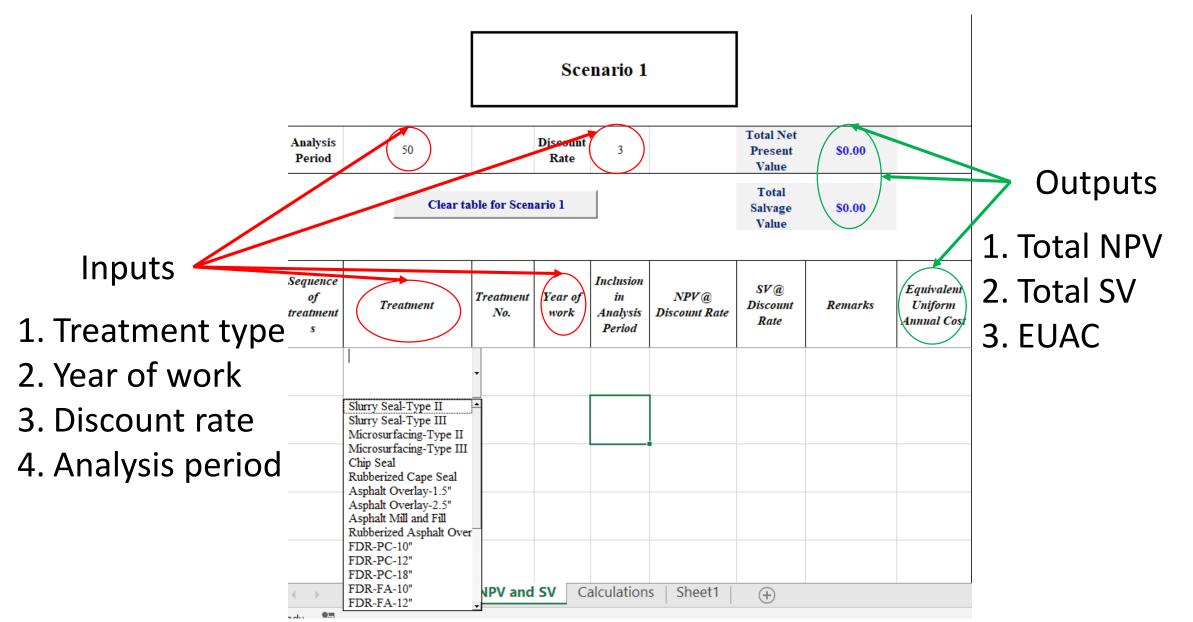
- Excel tool to calculate Net Present Value, Salvage Value and Equivalent Uniform Annual Cost
- Can compare 3 scenarios side by side
- Can choose and edit the list and sequence of treatments

Tools	More
<ul> <li>Pavement Software To</li> <li>Life Cycle Cost Analysi Spreadsheet (Download)</li> </ul>	s Comparison
<ul> <li>Unpaved Road Chemic Selection <u>Website</u></li> </ul>	
<ul> <li>Asphalt Paving Compa- (Download &amp; Install)</li> </ul>	ction Temperature

#### **CCPIC LCCA Excel tool**

				JUN	une	list	anc	sequ	uen	ce	of	trea	tme	ents	R	S	Ŧ	U	Ŷ	w	8	<b>v</b> (	z
	Sce	enario 1								Scei	nario 2								Sce	enario 3	•		
0	Discount Rate	4		Total Net Present Value	\$0.00		Analysis Period	20		Discount Rate	4		Total Net Present Value	\$0.00		Analysis Period	20		Discount Rate	4		Total Net Present Value	<b>S</b> 0.
Clear table for Sco	enario l			Total Salvage Value	\$0.00			Clear table f	or Scenario 2				Total Salvage Value	\$0.00			Clear table	for Scenario 3	5			Total Salvage Value	<u>\$0</u> .
ment Treatmen No.	t Year of work	Inclusion in Analysis L Period	NPV @ Discount Rate	SV@ Discount Rate	Remarks	Equivalent Uniform Annual Cost	Sequence of treatments	Treatment	Treatment No.	Year of work	Inclusion in Analysis Period	NPV@ Discount Rate	SV@ Discount Rate	Remarks	Equivalent Uniform Annual Cost	Sequence of treatments	Treatment	Treatment No.	Year of work	Inclusion in Analysis Period	NPV@ Discount Rate	SV@ Discount Rate	Rem
	Clear table for Sce ant Treatment	Clear table for Scenario 1	ent Treatment Year of in Analysis I	Discount Rate     4       Clear table for Scenario 1     4       ent     Treatment No.     Fear of work     Inclusion in Analysis     NPV@ Discount Rate	Discount Rate         4         Present Value           Clear table for Scenario 1         Total Salvage Value           ent         Treatment No.         Fear of work         Inclusion in Analysis         NPV@ Discount Rate         SV@ Discount Rete	Discount Rate         4         Present Value         \$0.00           Clear table for Scenario 1         Total Salvage         \$0.00           ent         Treatment No.         Inclusion work         NPV@ Analysis         SV@ Discount Discount Discount Rete         Remarks	Discount Rate         4         Present Value         \$0.00           Clear table for Scenario 1         Total Salvage Value         \$0.00           ent         Treatment No.         Fear of work         Inclusion Analysis         NPV@ Discount Discount Rate         SV@ Discount Reto         Equivalent Uniform	Discount Rate     4     Present Value     \$0.00     Analysis Period       Clear table for Scenario 1     Total Salvage     \$0.00     So.00       ent     Treatment No.     Year of work     Inclusion Analysis     SV@ Discount Discount Rate     Remarks     Equivalent Uniform     Sequence of Uniform	Discount Rate     4     Present Value     \$0.00     Analysis Period     20       Clear table for Scenario 1     Total Salvage Value     \$0.00     Clear table for Clear table for Value     Clear table for Solution     Clear table for Clear table for Value     Total Salvage Value     \$0.00     Treatment     Clear table for Clear	Discount Rate     4     Present Value     \$0.00     Analysis Period     20       Clear table for Scenario 1     Total Salvage Value     \$0.00     Clear table for Scenario 2       ent     Treatment No.     Inclusion work     NPV@ Analysis     SV@ Discount Discount Rete     Remarks     Equivalent Uniform toothourt     Sequence toothourt     Treatment     Treatment     Treatment	Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate       Clear table for Scenario 1     Total Salvage Value     \$0.00     Clear table for Scenario 2     Clear table for Scenario 2       ent     Treatment No.     Year of work     Inclusion Analysis Discount Rate     SV@ Discount Rate     Equivalent Uniform     Sequence of totamant     Treatment     Treatment     Treatment     Year of work	Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate     4       Clear table for Scenario 1     Total Salvage     \$0.00     Clear table for Scenario 2     Clear table for Scenario 2       ent     Treatment No.     Inclusion work     NPV@ Analysis     SV@ Discount     Remarks     Equivalent Uniform     Sequence Uniform     Treatment     Treatment     Year of work     Inclusion in Analysis	Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate     4       Clear table for Scenario 1     Total Salvage     \$0.00     Clear table for Scenario 2     Clear table for Scenario 2       ent     Treatment No.     Inclusion work     NPV@ Analysis     SV@ Discount Salvage     Remarks     Equivalent Uniform reatment     Sequence reatment     Treatment     Treatment No.     Treatment No.     Treatment No.     Treatment No.     Treatment No.     Sequence work     Inclusion Analysis     NPV@ Discount Rate	Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate     4     Present Value       Clear table for Scenario 1     Total Salvage     \$0.00     Total Salvage     \$0.00     Clear table for Scenario 2     Total Salvage       ent     Treatment No.     Inclusion work     NPV@ Analysis     SV@ Discount Rate     Remarks     Equivalent Uniform     Sequence Uniform     Treatment     Treatment No.     Treatment     Sequence work     Treatment     Sequence work     Treatment     SV@ Discount Rate     SV@ Discount Rate     SV@ Discount Rate     SV@ Discount Rate     Sequence Period	Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate     4     Present Value     \$0.00       Clear table for Scenario 1     Total Salvage Value     \$0.00     Clear table for Scenario 2     Total Salvage     \$0.00       ent     Treatment No.     Year of work     Inclusion in Analysis     NPV@ Discount Rate     SV@ Discount Rate     Equivalent Uniform Period     Sequence of reatment     Treatment     Treatment No.     Inclusion work     NPV@ Discount Rate     SV@ Discount Rate     Equivalent Uniform Rete     Sequence of reatment     Treatment     Treatment No.     Inclusion work     NPV@ Discount Rate     SV@ Discount Rate     Remarks	Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate     4     Present Value     \$0.00       Clear table for Scenario 1     Image: Scenario 1     Total Salvage     \$0.00     Image: Scenario 2     Total Salvage     \$0.00       ent     Treatment No.     Inclusion work     NPV@ Analysis     SV@ Discount Rate     Remarks     Equivalent Uniform Annual     Sequence Uniform     Treatment     Treatment No.     Treatment work     Treatment Inclusion in Analysis     SV@ Discount Rate     Equivalent Discount Rate     Treatment Rete     Treatment No.     Treatment No.     Treatment No.     SV@ Value     Equivalent Uniform Annual	Discount Rate       4       Present Value       \$0.00       Analysis Period       20       Discount Rate       4       Present Value       \$0.00       Analysis Period         Clear table for Scenario 1       Total Salvage       \$0.00       Total Salvage       \$0.00       Total Salvage       \$0.00       Total Salvage       \$0.00       Total Salvage       \$0.00       Equivalent Uniform       Clear table for Scenario 2       Total Salvage       \$0.00       Equivalent Uniform       Superior       Superior       Superior       Superior       Featurent Uniform       Superior       Superior       Superior       Superior       Superior       Featurent Uniform       Superior       Superi	Discount Rate       4       Present Rate       \$0.00       Analysis Period       20       Discount Rate       4       Present Value       \$0.00       Analysis Period       20         Clear table for Scenario 1       Total Salvage Value       \$0.00       Total Salvage Value       \$0.00       Total Salvage       \$0.00       Total Salvage       \$0.00       Clear table for Scenario 2       Total Salvage       \$0.00       Clear table       Clear table for Scenario 2       Total Salvage       \$0.00       Clear table       Clear table for Scenario 2       Total Salvage       \$0.00       Clear table       Total Salvage       \$0.00       Clear table       Clear table       Total Salvage       \$0.00       Total Salvage       \$0.00       Clear table       Total Salvage       \$0.00       Total Salvage       \$	Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate     4     Present Value     \$0.00     Analysis Period     20       Clear table for Scenario 1     Total Salvage     \$0.00     Total Salvage     \$0.00     Total Salvage     \$0.00     Clear table for Scenario 2     Total Salvage     \$0.00     Clear table for Scenario 3       ent     Treatment No.     Inclusion work     NPV@ Analysis     SV@ Discount Rate     Remarks     Equivalent Uniform Analysis     Sequence of Discount Rate     SV@ Discount Rate     Remarks     Equivalent Uniform Analysis     Treatment No.     Treatment No.     Treatment No.     SV@ Work     SV@ Discount Rate     Remarks     Equivalent Uniform Analysis     Treatment No.     Treatment No.	Discount Rate       4       Present Value       \$0.00       Analysis Period       20       Discount Rate       4       Present Value       \$0.00       Analysis Period       20       Discount Rate         Clear table for Scenario 1       Total Salvage       \$0.00       Total Salvage       \$0.00       Total Salvage       \$0.00       Clear table for Scenario 2       Total Salvage       \$0.00       Clear table for Scenario 3       Total Salvage       \$0.00       Total Salvage       \$0.0	Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate     4     Present Value     \$0.00     Analysis Period     20     Discount Rate     4       Clear table for Scenario 1     Total Salvage     \$0.00     Total Salvage     \$0.00     Clear table for Scenario 2     Total Salvage     \$0.00     Clear table for Scenario 3     Clear table for Scenario 3     Clear table for Scenario 2     Total Salvage     \$0.00     Total Salvage     \$0.00     Clear table for Scenario 3     Clear table for Scenario 3	Discount Rate       4       Present Rate       So.00       Analysis Rate       20       Discount Rate       4       Present Value       So.00       Analysis Period       20       Discount Rate       4         Clear table for Scenario 1       Value       So.00       So.00       So.00       So.00       Analysis       20       Discount Rate       4       Present Value       So.00       Analysis       20       Discount Rate       4       Period       20       Discount Rate       4       4	$\frac{Discount}{Rate} 4 \frac{Present}{Rate} 50.00 \frac{Analysis}{Period} 20 \frac{Discount}{Rate} 4 \frac{Present}{Value} 50.00 \frac{Analysis}{Period} 20 \frac{Discount}{Rate} 4 \frac{Present}{Value} 50.00 \frac{Analysis}{Period} 20 \frac{Discount}{Rate} 4 \frac{Present}{Value} 4 \frac{Present}{Value} 50.00 \frac{Analysis}{Period} 20 \frac{Discount}{Rate} 4 \frac{Present}{Value} 50.00 \frac{Present}{Present} 50.00 \frac{Present}{Present} 50.00 \frac{Present}{Value} 50.00 \frac{Present}{Value} 50.00 \frac{Present}{Present} 50.00 \frac{Present}{Presen$

#### **<u>CCPIC LCCA Excel tool</u>**



## **CCPIC LCCA Excel tool**

Editable:

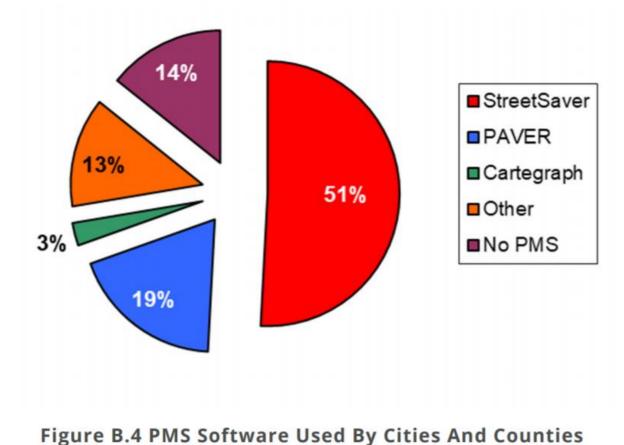
- Functional Unit
- Treatment List: Cost, Life of Treatment

	SY	Lane miles	Width of Lane (yards)			
Functional Unit	7040		1 4	ł		
Treatment Name	Treatment No.	Cost/SY		Cost/Functional U	nit	Life of Investment
PME chip seal	1	\$ 4.50	)	31,680		6
AR chip seal	2	\$ 6.20	)	43,648		8
Type II slurry	3	\$ 5.50	)	38,720		5
Microsurfacing-Type II	4	\$ 5.80	)	40,832		7
PME cape seal	5	\$ 10.00	)	70,400		8
AC overlay 1.5 inches	6	\$ 12.00	)	84,480		10
AR cape seal	7	\$ 11.70	1	82,368		10
Asphalt Rubber Overlay 1.5"	8	\$ 15.00	1	105,600		15
AC mill and fill 1.5 inches	9	\$ 17.00	)	119,680		12
AC overlay 2.5 inches	10	\$ 20.00	1	140,800		15
Asphalt Rubber Mill and Fill 1.5"	11	\$ 20.00	)	140,800		15
Rubberized HMA-2.5"	12	\$ 25.00	)	176,000		20
CIR-4" with thin overlay	13	\$ 25.00		176,000		12
AC mill and fill 2.5 inches	14	\$ 30.00	)	211,200		15
FDR-PC-8" + 2.5	15	\$ 33.28	5	234,291		15
FDR-PC-10" + 2.5 AC	16	\$ 40.00	)	281,600		20
FDR-PC-12" + 2.5 AC	17	\$ 45.00	)	316,800		25
FDR-FA-10" + 2.5 AC	18	\$ 45.00	)	316,800		20
FDR-FA-12" + 2.5 AC	19	\$ 50.00		352,000		25

## Life Cycle Cost Analysis (LCCA)

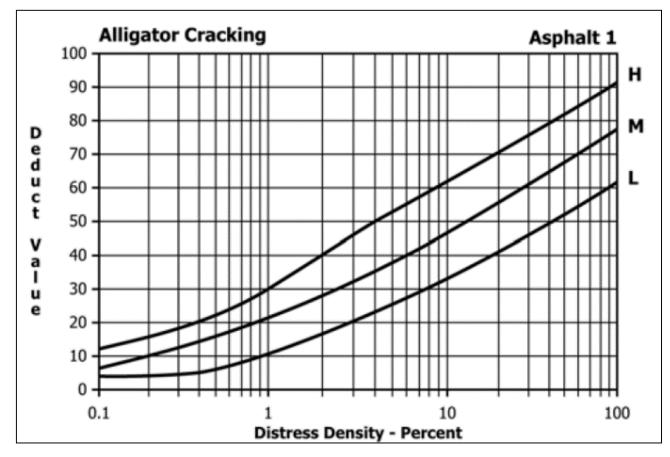
Performance prediction is key to good pavement management and LCCA

- Pavement
   Management
   Systems
  - Performance estimates are typically in terms of pavement condition index (PCI)



## Life Cycle Cost Analysis (LCCA)-PCI

- PCI is amalgamation of different distresses
- Can have same PCI for very different conditions
- Engineering meaning in the condition survey is lost
- Recommend
  - Use PCI as communication tool for management/public
  - Manage asphalt pavement considering:
    - Cracking: age and traffic caused
    - Other distresses (rutting, raveling)



ASTM PCI manual

## Life Cycle Cost Analysis (LCCA) Pilot

- Data obtained from four local government agencies for performance modeling:
  - City of San Jose
  - City of Berkeley
  - City of Mountain view
  - County of Los Angeles
- Data access obtained from the City of San Jose, Berkeley and Mountain View: Full access to download and extract all available data. More than 4 million rows of performance history and maintenance and rehabilitation history extracted

### Life Cycle Cost Analysis (LCCA) Pilot

CASE 1: TRAFFIC LOADING	G RELATED, PCI = 34			
DISTRESS	SEVERITY	QUANTITY	DV	
Alligator Cracks	High	1x6	18	
Alligator Cracks	Medium	1x4 1x5 1x7	17	
Potholes	Medium	3	48	Same PCI,
Potholes	Low	3	30	different
Rutting	Low	2x5 2x8	10	pavement
CASE 2: AGE, CONSTRUCT	ION, UTILITIES, OTHE	R FACTORS, PCI = 32		condition
Long/Trans Crack	High	15 20 8 6 12 18 6x7	43	
Long/Trans Crack	Medium	25x2 18 13 9 10	20	
Patching/Utility	High	25x4 25x2	40	
Patching/Utility	Medium	12x6 4x7	20	
Block Cracks	High	4x6 6x5	13	

## Life Cycle Cost Analysis (LCCA) Pilot-Observations

- Wide variation in performance depending on street type, underlying pavement structure and previous treatment
- Initial study shows agencies treating different causes of distresses similarly; pavement treatment should change according to the distresses so that the pavements remain functional longer
- Initial studies also show that treatment selection can be a major output of the pilot
- LCCA helps agencies plan for different treatments and treatment sequences

## Life Cycle Cost Analysis (LCCA)

Some changes that can be considered to improve life cycle cost

- Pavement management and preservation
  - Treatment timing
  - Treatment selection
  - Treatment sequence
- Asphalt compaction

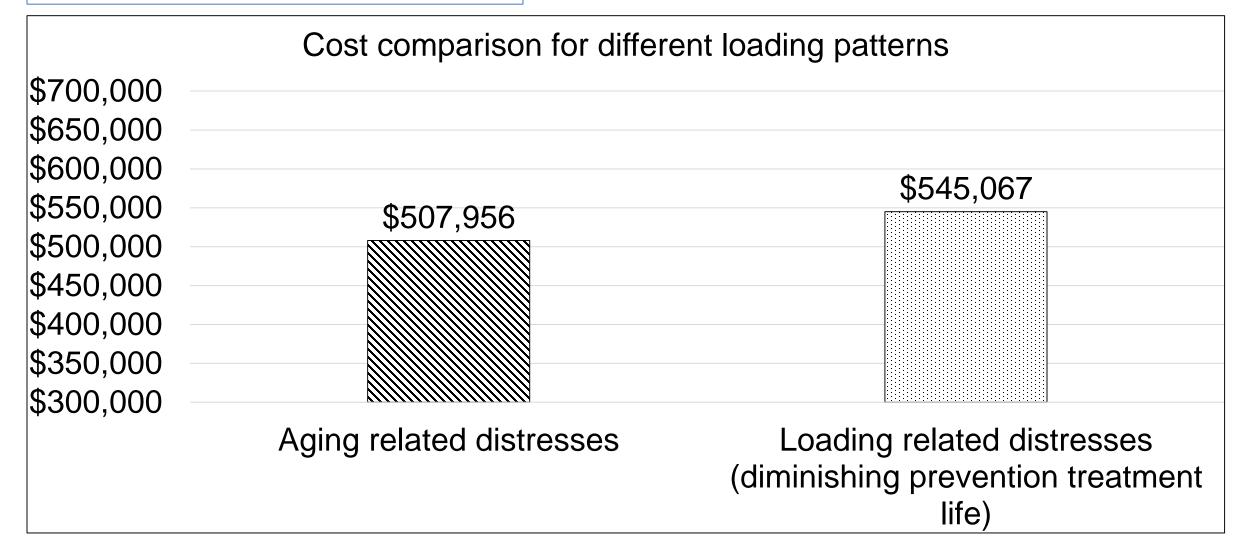
#### Life Cycle Cost Analysis (LCCA)-Effect of timing

Asphalt Mill and Fill - \$38/SY Microsurfacing - \$14/SY

Sch	1 In mile, total costs, 50 years analysis period, 4% discount								
	\$700,000								
As	\$650,000	Schedule A	Schedule B	Schedule C					
Mic	\$600,000								
Mic	\$550,000	\$507,956	Ф 4 0 4 4 C 4						
Mic	\$500,000		\$481,464						
A	\$450,000			\$441,155 *****					
Mic	\$400,000								
IVIIC	\$350,000								
	\$300,000								

#### Life Cycle Cost Analysis (LCCA)-Treatment Sequences

Asphalt Mill and Fill - \$38/SY Microsurfacing - \$14/SY



#### Life Cycle Cost Analysis (LCCA)-Compaction Effects

Asphalt Mill and Fill - \$38/SY Microsurfacing - \$14/SY

• 3 C	•	continuous rehab 1 In mile)	strategy
\$700,000			
\$650,000			
\$600,000			\$584,559
\$550,000			
\$500,000		\$468,291	
\$450,000	\$426,086		
\$400,000			
\$350,000 -			
\$300,000 -			
	6% AV Good compaction	9% AV Usual practice	12% AV Bad compaction

## Life Cycle Cost Analysis (LCCA)-Summary

- LCCA can be used to provide a long-term costing perspective of maintenance and rehabilitation (M&R) activities
- LCCA has to be used in conjunction with performance estimates of M&R treatments to optimize life cycle cost
- Different treatment schedules should be chosen for different kinds of underlying distresses: Age related and Load related
- LCCA excel tool, developed by CCPIC, is free to access and use. Provides Net Present Value (NPV) and Equivalent Uniform Annual Cost (EUAC) for the treatment sequences
- LCCA can be used to inform decisions regarding:
  - Treatment timing
  - Treatment selection
  - Treatment sequences
  - Policy analysis like compaction effects

# Construction Quality Assurance Program for Pavement Preservation

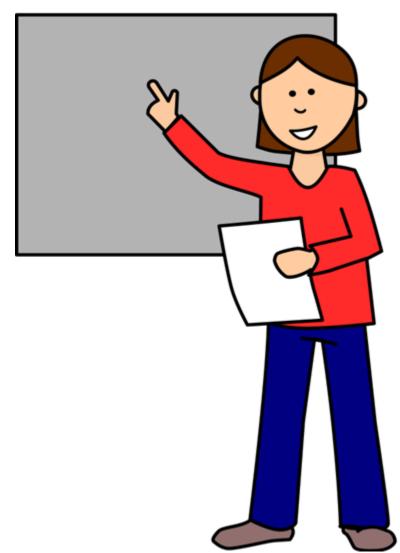
By DingXin Cheng, Ph.D. Dr. Cheng: dxcheng@csuchico.edu Professor, California State University, Chico Director, California Pavement Preservation Center Associate Director, City and County Pavement Improvement Center Presented at PWOI meeting Monterey, CA March 18, 2020

## **Purpose of Presentation**

- Provide information on Quality Control and agency acceptance for preservation treatments
- This has been done as a part of a SB-1 project for Mineta Transportation Institute
- Treatments completed to date include
  - Chip Seals
  - Slurry Surfacings
  - Cape Seals
- Manuals can be found on the MTI website at <u>https://transweb.sjsu.edu/csutc/research/publications</u>
- Will use Cape Seals as an example

## **Overview**

What are Cape Seals? Project selection > Specifications ➢Test methods Mix design Construction >Quality Assurance



## What Are Cape Seals?

- Developed originally in Capetown and they consist of two layers
- The first layer consists of an emulsion chip seal or a hot applied chip seal
- The emulsion binders can be conventional or polymer modified while the hot binders are generally asphalt rubber.
- The chips are generally ½ to ¾ inch rock, of uniform size and good quality

## What Are Cape Seals?

- The second layer is a slurry surfacing mixture of graded aggregate and asphalt emulsion binder with fillers and additives to make a cold emulsion mixture which cures quickly to a hard wearing surface.
- It can be either a microsurfacing or slurry seal
- Microsurfacing is preferred for cooler weather or night work

- > Why use them?
  - A thin, cost effective preventative maintenance treatment.
  - Extends the life of the pavement
- Where to use them?
  - Normally on asphalt pavement, but have been used on concrete pavements showing some distresses.
  - They trigger ADA work

#### When to use them?

- > Correct/improve
  - Raveling and weathering
  - Skid resistance
  - Small Cracks and voids
  - Aesthetics
- > Prevent/reduce
  - Oxidation of asphalt concrete
  - Surface water infiltration
  - Pavement degradation due to the elements

- >Don't use on severely distressed pavement
  - Potholes
  - Severe alligator problems
  - Structurally deficient pavements
  - Severe rutting
  - Significant profile or cross-slope corrections
  - These problems require repair work prior to cape seal surfacing.

>What kind of distresses can Cape seals fix?

 A Cape seal can handle more severe distresses than a single chip seal or a single slurry surfacing.



After 8-years this Cape seal is still performing.

This is a multi-layer Cape seal at the City of Lompoc, CA

## **Cape Seal Surfacing Materials**

First Lift

**Second Lift** 

- Chip Seal Layer
  - Emulsion with damp aggregate

or

 Hot applied rubber binder (AR) and hot pre-coated aggregate

#### Slurry Surfacing Layer

- Slurry Seal (Top Layer)
  - Emulsion
  - Aggregate
  - Additives

or

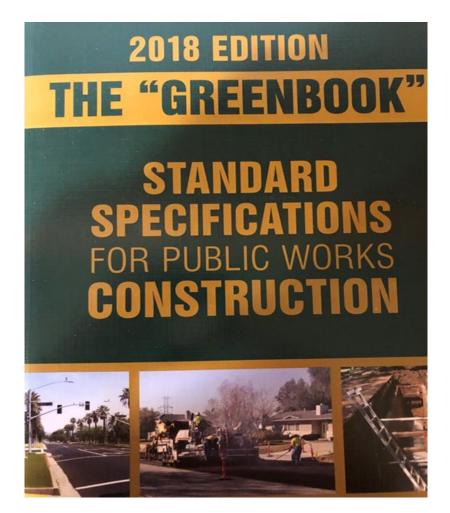
- Microsurfacing
  - Emulsion with additives for faster cure
  - Higher quality aggregate

## **Preventing Poor Pavement Performance**

- Proper project selection
- Trained personnel with experience (both agency and contractor)
- Equipment
  - Good condition
  - Calibrated
- Materials and mix design
  - Meets specifications
  - Testing with accredited laboratory and certified testers
- Good workmanship

## **Design-Specifications**

- Caltrans, Greenbook, used by local agencies
  - Differences in materials specifications
  - Greenbook speaks of warranties
- If the Agency is short on inspectors, a warranty may be a good item to consider in the specifications.



Construction Topics to Cover Pre-Construction Meeting

- **Contractor's QC plan and process**
- Project mix designs and materials control
- Equipment calibration procedure
- Test strip for each product and location
- ➢Quality control data
- >Inspection and testing by the agency
- Documentation by both sides
- Protection of existing facilities
- Traffic control plan

# Quality Control Plan (QCP)

Contractor is responsible for quality control (QC) sampling, testing, and documentation and needs to submit a QCP.

QCP shall include sampling, testing, inspection, monitoring, documentation and submittals, and corrective action procedures during transport, stockpiling, placement, and sweeping/cleanup operations.

QCP shall detail the Contractor's QC program that meets the requirements of the specifications.

# **Equipment Calibration**

#### ≻Chip seal

- General
  - Contractor to provide proof of calibration of the distributor truck and the aggregate spreader.
  - Calibration to be repeated once per week or after five full days of chip seal operations have been completed. (This may vary per agency)

#### Distributor truck

- Application rates-transverse and longitudinal
- Overlap- triple
- Edge nozzle-at right angle
- Aggregate spreader
  - Application rates-transverse and longitudinal

# **Equipment Calibration**

#### >Slurry surfacings

- Perform calibration and submit data for all slurry seal trucks in accordance with Caltrans Section 37-3.01C(3)(f)
- Calibrate the mix paver to be used for the placement of slurry seal in the presence of the Engineer
- Ensures compliance with the approved mix design/job mix formula
- Each unit shall be calibrated prior to the beginning of the project for each aggregate or mixture type.

# **Quality Control-Contractor**

### Per approved sampling and testing plan

- Sampling and testing of the emulsion
- Sampling and testing of the residual binder content
- Sampling and testing of the aggregate
- Determination of the daily application rates for the mix and the quantities of emulsion, aggregate, mineral filler, water and additives
- Daily inspection reports

## **Agency Construction Inspection**

Things to do:

- Verify application rates
- Take field samples from the spreader unit for water content, residual asphalt and wet track abrasion test (WTAT)
- Note the following
  - Start & stop times of operations
  - Traffic control & trucking operations
  - Curing, rolling and sweeping
- Prepare daily reports

## **Agency - Construction Inspection**

>Workmanship Issues

- Spread materials uniformly
- Longitudinal joints ensure no material buildup
- Transverse joints ensure clean joints, start and stop on roofing felt
- Mixture shall be uniform in color and homogenous after spreading

Sweeping to ensure removal of loose aggregate (after emulsion is cured)

- Chip seals
- Slurry surfacing

# Construction- Weather Restrictions for Emulsion Chip Seals and Slurry Surfacing

- ➢ Place when both pavement and air temperatures ≥ 50°F and rising.
- Do not place if air temperature is over 105°F
- No placement if rain is imminent



# Construction-Applying Microsurfacing

- Allow microsurfacing to cure. Minimum of 1 hr.
- > Roll microsurfacing
- Sweep the microsurfacing after rolling
- > Open to traffic after initial sweeping.
- Sweep for 4 days after opening
- Sweep again after 2 weeks
- > Quantify the sweepings after each day

# **Agency Inspection and Field Testing**

- Essential items for inspector to document and detail
  - Workmanship
  - Protection of existing facilities
  - Weather—temperatures, wind conditions
  - Any problems
  - Sampling per required frequencies for each material
  - Issues to watch for with each material
  - Spread rates and temperatures of materials

### **Post Application Inspection**



- Correct any workmanship issues
- Cleanup
- Striping
- > Opening to traffic



# Did Everything Work?

>What do you do if the job does not meet expectations?

- Warranty is a good item to include in the contract specifications.
  - You can have the Contractor come back and repair it.
  - Usual period is for one year, can be longer.
  - Greenbook, Section 3-13.3
- Specification
- Some agencies hold a bond for the warranty period.
   Percentage of \$ amount of contract.

# What Do We Want to Avoid?

- Surface de-bonding
- Workmanship issues
  - Excessive drag marks
  - Poor longitudinal or transverse joints
- Tire marks from early traffic
- Excessive shedding
- >Unacceptable hand work



# What Do We Want?

- By following the mix designs and specifications
  - Little to no rock loss or raveling after initial period
  - Good workmanship
  - Project looks like new road
- Project should last its expected life



# Approaches for Delivering More Sustainable and Multi-Functional Pavement

John Harvey, UCPRC, CCPIC, UC Davis

# The future of local government pavements will be more sustainable and multi-functional

- Public expectations are for more sustainable and multi-functional pavements
  - State and local legislation
  - Public comments
- More sustainable:
  - Less greenhouse gas
  - Less air pollution
  - Less stormwater pollution
  - Less virgin material use
  - More use of new "sustainable" materials
- Multi-functional:
  - Bicycles
  - Cool pavement
  - Stormwater
  - Quiet

- How do we evaluate new approaches to see if they are more sustainable?
  - Economic sustainability use Life cycle cost analysis (LCCA)
  - Environmental sustainability use Life cycle assessment (LCA)
  - Quality of life measures
- To avoid unintended negative consequences we must consider:
  - Full system
  - Full life cycle

#### Environmental Impacts over the Pavement Life Cycle

Analysis Period Maintenance and rehabilitation includes • Where to focus Environmental materials, transport, impacts Lower traffic volume construction routes (<2500 veh/day): most impacts are materials, transportation, **Use Stage** construction Difference in fuel use caused • Higher traffic routes primarily by roughness; also (>2500 vehicles/day): structural response under heavy bigger impacts from vehicles rolling resistance (roughness mostly) Years Initial R R Μ

Impacts must consider <u>full life cycle and full system</u> Which treatment has more environmental impacts?

- Treatment A:
  - Impact = 1000 tons greenhouse gas per year across the preservation program from materials production, transportation, construction
  - Lasts 8 years
- Treatment B:
  - 20% less initial impact than 8
  - Lasts 5 years
- Impact comparison over 20 year analysis period:
  - Treatment A: 20,000 tons
  - Treatment B: = 20,000 tons\*(1-0.2)\*8/5 = 25,600 tons
- Conclusion: Treatment A produces less impact over the life cycle

Impacts must consider <u>full life cycle</u> and <u>full system</u> Which treatment has more environmental impacts?

- Where do the environmental impact numbers come from?
  - Materials production and construction first-order numbers from Caltrans PMS are currently available
    - Contact CCPIC@ucdavis.edu
  - ITS Davis SB1/UCPRC funded LCA tool for local government is being developed and should be available by end of summer
  - Environmental Product Declarations (EPD) for materials production
- Where do the treatment lives come from?
  - Best if come from agency review of performance
  - Can also use performance curves in your pavement management system
  - Use the same information used for life cycle cost analysis

### Environmental Product Declaration (EPD)

- Results of an LCA for a product, cradle to gate of plant
- Published by materials producer following industry rules



#### **Environmental Facts**

Functional unit: 1 metric ton of asphalt concrete

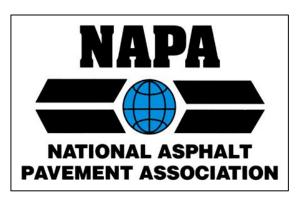
Primary Energy Demand [MJ]	4.0x10 <sup>3</sup>
Non-renewable [мJ]	3.9x10 <sup>3</sup>
Renewable [мJ]	3.5x10 <sup>2</sup>
Global Warming Potential [kg CO <sub>2</sub> -eq]	79
Acidification Potential [kg SO <sub>2</sub> -eq]	0.23
Eutrophication Potential [kg N-eq]	0.012
Ozone Depletion Potential [kg CFC-11-eq]	7.3x10 <sup>-9</sup>
Smog Potential [kg O <sub>3</sub> -eq] Boundaries: Cradle-to-Gate Company: XYZ Asphalt RAP: 10%	4.4

Adapted from Pavement Interactive, Steve Muench

Why Would a Local Government Ask for EPDs? Can Industry Deliver Them?

- EPDs provide a means for agencies to begin to <u>quantify</u> their emissions and impacts
- Asphalt and concrete producers have set up systems to produce verifiable EPDs
  - Including asphalt rubber and other types of asphalt, different types of concrete
- If new products are being considered this would be a good thing to ask for
  - Starts to help sort out unsubstantiated and potentially incomplete environmental claims
  - Cool pavement coatings, plastic in asphalt, extremely high RAP mixes with high rejuvenator content, etc





# Are we ready to begin using EPDs for selecting materials suppliers?

Mukherjee et al, http://www.ucprc.ucdavis.edu/PDF/FHWA\_ EPD\_Workshop\_Report.pdf Recommendations from FHWA/Industry EPD Workshop, Michigan, 2016

- Start requiring, develop rules/reporting, standardization of EPDs (1-2 years)
  - Learning period for industries and agency
- Require use of standardized EPDs (3 to 5 years)
  - Pressure industries to harmonize their reporting
  - Make sure numbers are verifiable and comparable: level playing field for competition
- Once have good numbers coming from industry, consider for procurement
  - Caltrans and California High Speed Rail are moving down this path
  - Some local governments are already considering procurement

# Actionable <u>now</u>: Timely use of preservation Example for urban street

- <u>Timely</u> use of preservation treatments can postpone AC mill and fills
  - Timely = when beginning to age, before cracking
  - Usually about 10 to 15 years

Treatment	Approximate Metric Tons GHG/lane mile
Slurry Seal	4
2.0 inch HMA mill and fill	45
6.0 inch HMA remove and replace	161

# LCCA and LCA results: Urban alternatives

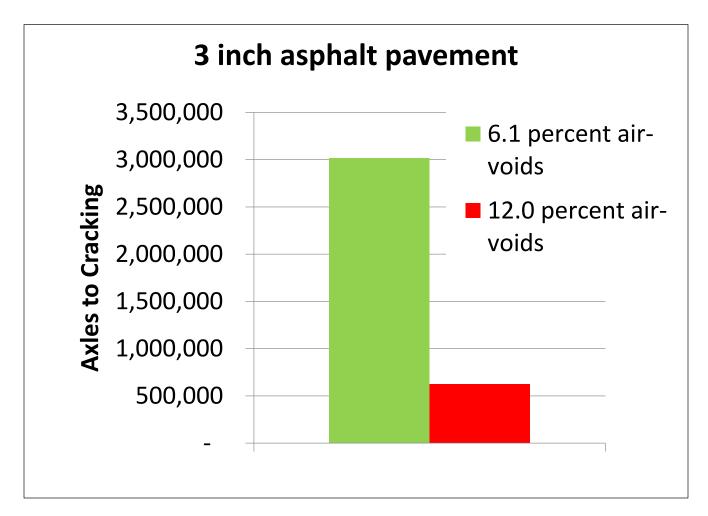
Mill and Fill Scenario	\$/sy	Year
HMA 2 inch mill and fill	38	0
HMA 2 inch mill and fill	38	20
HMA 2 inch mill and fill	38	40
Remove and Replace Scenario	\$/sy	Year
HMA 2 inch mill and fill	52	0
Remove, replace 6 inches HMA	52	25
Preservation Scenario	\$/sy	Year
HMA 2 inch mill and fill	38	0
Slurry seal	7	12
Slurry seal	7	19
Slurry seal Slurry seal	7 7	19 26
	•	

• 50 year analysis, 2% discount rate

- Remove and replace:
  - 14% more cost
  - 60% more GHG
- Preservation:
  - 12% less cost
  - 27% less GHG

GHG adapted from A. Saboori doctoral thesis, 2020

Actionable <u>now</u>: Asphalt Compaction Quality Control Effect of asphalt construction compaction on axle loads to cracking



General rule: 1% increase in constructed air-voids = 10% reduction in fatigue life under heavy loads

Similar effects on residential routes; more air voids = faster aging

Simulation based on FHWA Westrack project field results

Local Government LCCA and LCA example: Asphalt Compaction 8% vs 12% air-voids

- Assumptions:
  - 4 miles of two-lane rural county road
  - Pulverize cracked HMA, compact, 100 mm HMA overlay
  - \$26/sy
  - 12% air-voids = 12 year life
  - 8% air-voids = 18 year life
- Net present cost\* over 50 year period:
  - 12% air-voids = \$4.36 million
  - 8% air-voids = \$3.09 million = **29 % less cost**
- Greenhouse gas emissions are 34% less



# How to Get Good Asphalt Compaction

- Include QC/QA construction air-void content specification in each contract
- Measure air voids as % of Theoretical Maximum Density
  - Not laboratory test maximum density
- Have contractor prove they can achieve spec
- Measure every day
- Look at the data
- Communicate with contractor
- If not following these steps, likely getting 10 to 13% air voids



Writing and enforcing specifications for asphalt compaction

May 2017

#### On CCPIC web site!

# Actionable <u>now</u>: use of thinner RHMA overlays Greenhouse Gases HMA vs RHMA

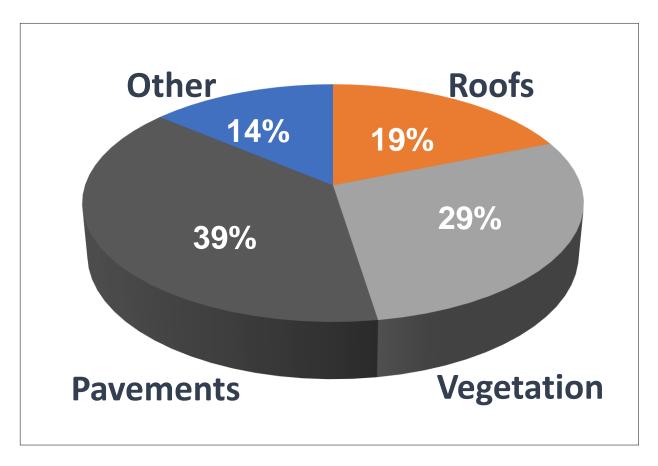
- Same design for 10 year overlay on highway
- HMA strategy emits 26% more greenhouse gases because of increased thickness

Strategy for Overlays	Materials (MTons GHG)	Construction and Transport (MTons GHG)	Total (MTons GHG)
2 inch mill + 3 inch HMA with 15% RAP	1,650	505	2,155
1.25 inch mill + 2.25 inch RHMA	1,310	396	1,706
HMA/RHMA	1.26	1.28	1.26

Adapted from T. Wang doctoral thesis, 2013

# Challenge for the Future: Multi-functionality

- Traditional goal:
  - Smooth pavement for vehicles at lowest cost
- Pavement dominates the urban landscape



#### Sacramento

Fractions of land area were measured above tree canopy

# Challenge for the Future: Multi-functionality

- New goals from the public and potential solutions
  - Bicycles
    - Reconfigure to include bike lanes when restriping preservation treatments
    - Selection of treatments to improve bicycle ride quality
    - Minimize cracking and roughness through preservation
  - Cool pavement
    - Balance reflectivity to improve human thermal comfort
  - Stormwater
    - Consider permeable pavement
  - Quiet
    - Raveling and roughness increase noise
    - Manage through timely preservation



#### Consideration of Bicyclists When Choosing Preservation Treatments

- Caltrans sponsored study
- More than 100 riders surveyed state, county and city pavements
  - HMA
  - Slurry, microsurfacing
  - Chip seals
- County and city roads
- Conclusions:
  - Minimize cracking and roughness with preservation
  - Do not select high texture seal coats
- Guidance on seal coat spec selection: <u>http://www.ucprc.ucdavis.edu/PDF/UCP</u> <u>RC-RR-2016-02.pdf</u>

January 2017 Research Report: UCPRC-RR-2016-02 **Development of Recommended Guidelines for Preservation Treatments** for Bicycle Routes Version 2 Authors: H. Li, J. Buscheck, J. Harvey, D. Fitch, D. Reger, R. Wu, R. Ketchell, J. Hernandez, B. Haynes, and C. Thigpen Part of Partnered Pavement Research Program (PPRC) Strategic Plan Element 4.57: Development of Guidelines for Preservation Treatments for Bicycle Routes PREPARED FOR: PREPARED BY: California Department of Transportation University of California Division of Research, Innovation, and System Information Pavement Research Center Office of Materials and Infrastructure UC Davis, UC Berkeley Davis - Barkelay CENTER Caltrans

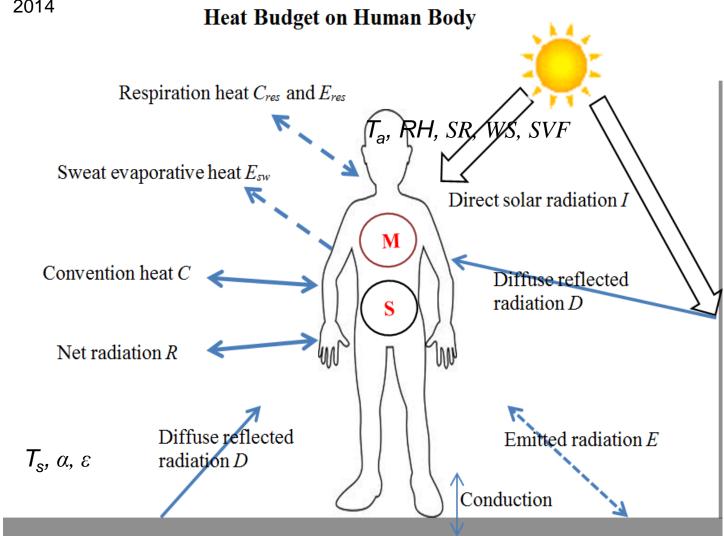
# Cool Pavement Considerations

- California Air Resources Board/Lawrence Berkeley National Laboratory, UCPRC, USC, thinkstep study
  - Reflective coatings for cool pavement can substantially increase greenhouse gas emissions over life cycle compared with slurry seals
  - Case study examples for Los Angeles and Fresno
  - Reflective coatings can require up to six times more energy than a slurry over 50 year analysis period
  - <u>https://newscenter.lbl.gov/2017/05/18/not-all-cool-pavements-are-created-equal/</u>
- UCPRC study on human thermal comfort
  - Increased reflectivity reduces pavement temperatures
  - Also increases reflected energy onto people and objects

#### Li et al 2014

#### Li et al. (2014) Study of Cool and Reflective Pavement Conclusions:

- Focus on human thermal comfort, not reduced electricity use
- Use cooler pavements with low GHG
- For thermal comfort must balance pavement heat and reflected energy



*M* is the metabolic rate (W/m<sup>2</sup>). *W* is the rate of mechanical work (W/m<sup>2</sup>). S (W/m<sup>2</sup>) is the total storage heat flow in the body.

#### Fully Permeable Pavement Design Methods

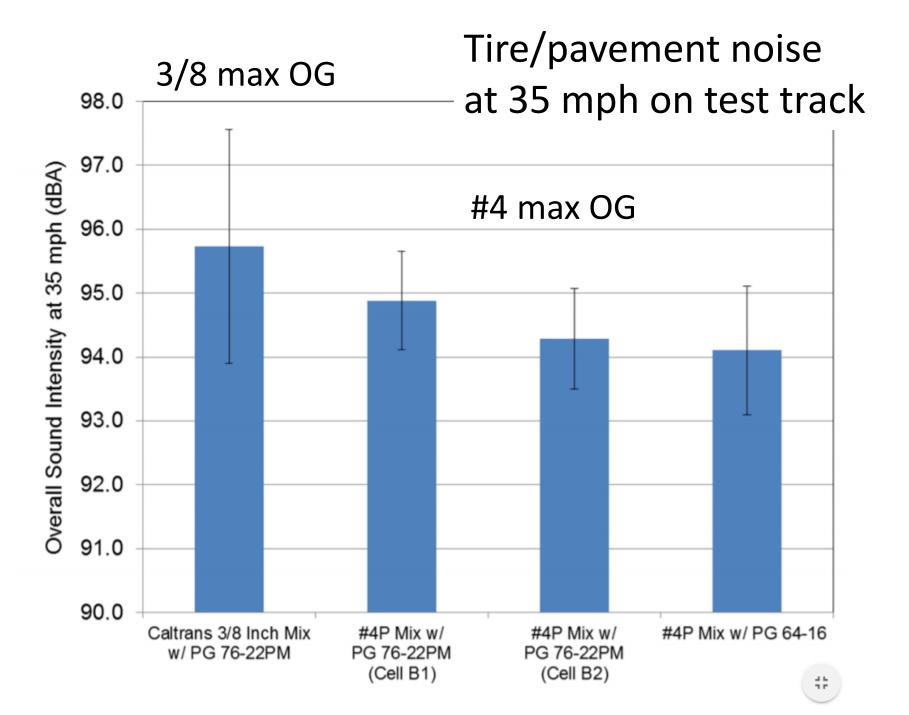
- Pervious Concrete and Porous Asphalt for Heavy Truck Traffic
  - Preliminary permeable pavement designs for typical California traffic and environmental conditions
  - Includes use of permeable concrete subbase
  - <u>http://www.ucprc.ucdavis.edu/PDF/UCPRC-RR-2010-01.pdf</u>
- Permeable Interlocking Concrete Pavement for Heavy Truck Traffic
  - Design method and validation results
  - Being incorporated into ICPI and ASCE designs
  - <u>http://www.ucprc.ucdavis.edu/PDF/UCPRC-RR-2014-04.pdf</u>





Small stone open-graded mixes

- Can reduce tire/pavement noise
- More durable than Caltrans OG
- Can slow stormwater runoff



# Conclusions

- Better pavement practices can help reduce climate change, and often also reduce cost
- LCA and LCCA are tools to be used to quantify and prioritize
- Evaluate current practices and new alternatives considering <u>full</u> system and life cycle
- There are strategies that you can be implementing now!
  - Timely preservation
  - Better asphalt compaction
  - Rubberized overlays
  - Start asking for EPDs
- Multi-functionality
  - Pavement for bicycles
  - Cool pavements: select low GHG treatments, balance reflectivity for comfort
  - Consider permeable pavement, small stone open-graded mixes

### Questions?

